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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John C. Reed

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: October 28, 1993

2. Name and address of receiving party(ies)

Name: University of Pennsylvania

Internal Address:

Street Address: 3700 Market Street

Ste. 200

City: Philadelphia State: PA ZIP: 19104

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/124,256

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Harris F. Brotman

Internal Address:

Street Address: 401 "B" Street, Ste. 1700

City: San Diego State: CA ZIP: 92101

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

+0.00 CA

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

HARRIS F. BROTMAN

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231



UNITED STATES DEPARTMENT OF COMMERCE
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DATE: 02/22/94
TO:

N12B

HARRIS F. BROTMAN
401 "B" STREET, STE. 1700
SAN DIEGO, CA 92101

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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ASSIGNOR:
REED, JOHN C.

DOC DATE: 10/28/93

RECORDATION DATE: 11/09/93 NUMBER OF PAGES 003 REEL/FRAME 6835/0295

DIGEST : ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:
UNIVERSITY OF PENNSYLVANIA
3700 MARKET STREET, STE. 200 PHILADELPHIA, PA 19104

SERIAL NUMBER	8-124256	FILING DATE	09/20/93
PATENT NUMBER		ISSUE DATE	00/00/00

P. Foster
EXAMINER/PARALEGAL
ASSIGNMENT BRANCH
ASSIGNMENT/CERTIFICATION SERVICES DIVISION

RECEIVED
MAR 15 1994
GCAF/H.F. BROTMAN

ASSIGNMENT

WHEREAS, I, John C. Reed, residing at 4375 Toulomne Place, Carlsbad, California 92008, am the inventor of certain inventions or improvements for which I have made application for Letters Patent of the United States, identified as Docket No. P0040US1, titled REGULATION OF bcl-2 GENE EXPRESSION, further identified as Application Serial No. 08/124,256, filed September 9, 1993; and

WHEREAS, The Trustees of the University of Pennsylvania, hereinafter referred to as the assignee, of Philadelphia, Pennsylvania, a non-profit corporation of Pennsylvania, U.S.A., is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to me in hand paid by said Trustees of the University of Pennsylvania, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said John C. Reed have sold, assigned, transferred and set over, and by these presents to hereby sell, assign, transfer and set over, to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any reissue or reissues or extension or extensions of said Letters Patent, and assign to and authorize said assignee to file in my name applications for Letters Patent in all countries the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made.

AND I hereby covenant that I have full right to convey the entire interest herein assigned, and that I have not executed and will not execute any agreement in conflict herewith, and I further covenant and agree that I will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letter Patent to said assignee, its successors, assigns, nominees or legal representatives, and I agree to communicate to said assignee, or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do

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everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries, all at the expense, however, of said assignee, its successors, assigns, nominees or legal representatives.

AND I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

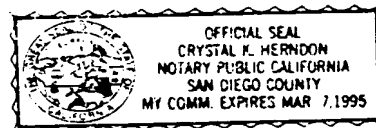
JOHN C. REED

State of California
County of San Diego

On 10/28/93 before me, Crystal K. Herndon
personally appeared John C. Reed
☐ personally knows to me - or - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Crystal K. Herndon



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